

Division of Financial Assistance

Clean Water State Revolving Fund Approval-of-Award (AOA) Request

Note: The Division of Financial Assistance (DFA) recommends (but does NOT require) that the construction contract be awarded after this AOA has been approved by DFA. The applicant should submit this form and ALL attachments as soon as possible after the bid opening. Prompt submission will provide DFA staff sufficient time to review the AOA Request. The AOA Request includes information to fulfill all State requirements.

1. Agency Name and Address		State Assigned Project No. C-06-
2. Have any protests regarding award of the construction contracts been received? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, give date protests were resolved: _____ Attach copies of the protests and resolutions. Contractor Debarred? <input type="checkbox"/> Yes <input type="checkbox"/> No (Debarment of Contractor prevents CWSRF funding participation)		
3. CWSRF Financing Requested		Actual Project Costs
A. Construction Cost (listed by name of prime contractor or vendor):		
1.		\$
2.		\$
3.		\$
B. Allowances:		
1. Planning		\$
2. Design		\$
3. Construction		\$
4. Administration		\$
Was VE Performed? <input type="checkbox"/> Yes <input type="checkbox"/> No 5. Value Engineering (VE)		\$
C. Allowance Subtotal		\$
D. Total Costs (Sum of 3A + 3C)		\$
4. Total Financing Summary		
A. Total Project Cost		\$
B. Agency's Share: Is this a Local Match CWSRF financing? <input type="checkbox"/> Yes <input type="checkbox"/> No		
C. Agency's Cash		\$
D. Agency's General Obligation or Revenue Bonds		\$
E. Agency's Short term loans or notes		\$
F. Agency's Grants		\$
G. Agency's Other Funds, Identify:		\$
H. CWSRF Financing		\$
I. Additional Financing Needed to Complete Project		\$
5. Final Completion of Construction Date _____ Final Initiation of Operation Date _____		
6. Also, attach the information requested in Section 7, page 2.		
The undersigned Authorized Representative of the applicant certifies that the information contained above and in attached statements and material in support thereof, are true and correct. In addition, the undersigned Authorized Representative of the applicant certifies that the applicant has completed or will comply with all applicable State laws.		
Signature of Authorized Representative		Date
Name, Title, and Phone Number of Representative (type or print)		

AOA Request Instructions

7. The recipient must attach the following information to the Approval-of-Award Request:
- A. Final and stamped by Professional Engineer Plans & Specification (P&S).
 - B. Proof of wastewater rate increase approval has met Proposition 218 requirements, if applicable.
 - C. Final appropriate Waste Discharge Requirements (WDR) or updated WDR, if applicable.
 - D. Updated monthly CWSRF funds disbursement projection.
 - E. Tabulation of all bids or proposals received.
 - F. Copy of the bid proposal(s) selected.
 - G. Evidence of advertising (submit a copy of newspaper advertisement for the project).
 - H. All Disadvantaged Business Enterprise (DBE) documentation, which includes forms 1 through 6 and documentation that the local Small Business Administration and/or Minority Business Development Agency centers were contacted fifteen working days prior to bid opening, if applicable.
 - I. A copy of any purchase order(s).
 - J. For design-build projects only, final Request for Proposal (RFP) from all pre-qualified bidders, final bid proposal selected, and related documentation to show the bid selection process was done in accordance with design-build requirements in *The Policy for Implementing the Clean Water State Revolving Fund for Construction of Wastewater Treatment Facilities*.
 - K. Value Engineering (VE) Study with recommendations and responses, if applicable.
 - L. Applicant's proposed Project Performance Standards, if applicant chooses to develop. If applicant chooses not to propose Project Performance Standards, DFA will develop Project Performance Standards.